



09-08-03

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PATENTS  
112025-0459  
CPOL# 76499 Seq.# 2790

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of:  
Marco Di Benedetto et al.

Serial No.: 09/714,246

Filed: November 16, 2000

For: HIGH AVAILABILITY ARCHI-  
TECTURE FOR NETWORK DE-  
VICES

Examiner: Ian N. Moore

Art Unit: 2661

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
September 7, 2005

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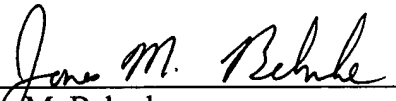
In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449.

Copies of cited art submitted in the parent U.S. Patent Application Serial No. 09/644,377, filed August 23, 2000, now issued as U.S. Patent No. 6,898,189 on May 24, 2005, are not enclosed pursuant to 37 C.F.R. §1.98(d)(1).

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

We enclose a check for \$180 as prescribed in 37 C.F.R. § 1.17(p). Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

  
\_\_\_\_\_  
James M. Behmke  
Reg. No. 51,448  
CESARI AND MCKENNA, LLP  
88 Black Falcon Avenue  
Boston, MA 02210-2414  
(617) 951-2500

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	5
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**Complete if Known**

Application Number	09/714,286
Filing Date	November 16, 2000
First Named Inventor	Marco Di Benedetto et al.
Group Art Unit	2661
Examiner Name	Ian N. Moore
Attorney Docket Number	112025-0459

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	4,922,486		Lidinsky, et al.	05-01-1990	
	2	5,018,137		Backes, et al.	05-21-1991	
	3	5,150,360		Perlman, et al.	09-22-1992	
	4	5,280,480		Pitt et al.	01-18-1994	
	5	5,740,171		Mazzola, et al.	04-14-1998	
	6	5,742,604		Edsall, et al.	04-21-1998	
	7	5,764,636		Edsall	06-09-1998	
	8	5,606,669		Bertin, et al.	02-25-1997	
	9	5,878,232		Marimuthu	03-02-1999	
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	11	5,790,808		Seaman	08-04-1998	
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	13	5,761,435		Fukuda, et al.	06-02-1998	
	14	5,138,615		Lamport, et al.	08-11-1992	
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	17	5,400,333		Perlman	03-21-1995	
	18	5,844,902		Perlman	12-01-1998	
	19	5,323,394		Perlman	06-21-1994	

## FOREIGN PATENT DOCUMENTS

[illegible]

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	40	6,487,591	B1	Budhraj et al.	11-26-2002	
	41	6,628,661	B1	Goldman et al.	09-30-2003	
	42	6,515,969	B1	Smith	02-04-2003	
	43	6,032,194		Gai, et al.	02-29-2000	
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	48	6,330,229	B1	Jain et al.	12-2001	
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	50	6,628,624	B1	Mahajan et al.	09-2003	
	51	6,801,506		Dey	10-05-2004	
	52	6,049,834		Khabardar, et al.	04-11-2000	
	53	6,898,189		Di Benedetto et al.	05-24-2005	
	54	6,445,715		Annaamalai, et al.	09-03-2002	

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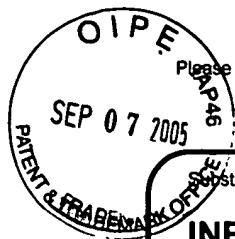
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Group Art Unit	2661
Examiner Name	Ian N. Moore
Attorney Docket Number	112025-0459

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	HOROWITZ, S., Dual-Layer Spanning Tree, A Spanning Tree Proposal for IEEE 802.1Q, May 14, 1997, pp. 45-48.	
	2	SEAMAN, M. and DELANEY, D., Single or Multiple Filtering Databases, May 8, 1997, pp. 1-8.	
	3	Lucent Technologies Inc.'s Initial Disclosure of Prior Art Under Civil Local Rule 16-7, Case No. C98-20836 JW (PVT) ENE.	
	4	IEEE Standard 802.1D, Draft Standard for Local Area Networks MAC (Media Access Control) Bridges: Technical and Editorial Corrections, March 6, 1997, pp. 3-10.85-122 and 151-158.	
	5	PERLMAN, RADIA, Interconnections: Bridges and Routers, (c) 1992, pp. 54-64.	
	6	HART, JOHN, Extending the IEEE 802.1 MAC Bridge Standard to Remote Bridges, IEEE Network, January 1988, pp. 10-15, Vol. 2, No. 1.	
	7	BHANDARI, I, OFEK, Y, BULENT, Y., AND YUNG, M., Fault-Tolerant Convergence Routing, IEEE, 1994, pp. 229-238.	
	8	PERLMAN, R., An Algorithm for Distributed Computation of Spanning Tree in an Extended LAN, ACM ISSN 0146-4833, 1985, pp. 44-53.	
	9	CHENG, C., A protocol to maintain a Minimum Spanning Tree in a Dynamic Topology, Cimet I.A; Kumar P.R., ACM 0-8979-279 9/88/088/0330, 1998, pp. 330-338.	
	10	MURAKAMI, K. and MARUYAMA, M., A MAPOS version 1 Extension-Switch-Switch Protocol, Network Working Group, RFC: 2174, June 1997, pp. 1-23.	
	11	SEAMAN, M., A More Robust Tree: Active Topology Maintenance in Reconfiguring Bridged Local Area Networks (STP), 3Com Corp. March 1996.	

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	12	SEAMAN, MICK, High Availability Spanning Tree, Rev. 1.1, pp. 1-15.	
	13	Information technology-Telecommunications and information exchange between systems-Local area networks-Media access control (MAC) bridges, International Standard ISO/IEC 10038:1993 ANSI/IEEE Std 802.1D, (c) 1993, pp. Table of Contents, 43-67, 82-83.	
	14	Cisco Systems, Inc: Catalyst 5000 Series Release Notes for Software Release 2.1, Doc. No. 78-2896-02, 1996, pp. 1-12.	
	15	Cisco Systems, Inc: Release Notes for Catalyst 2820 Series and Catalyst 1900 Series Firmware Version 5.35, Doc. No. 78-3817-05, August 1997, pp. 1-8.	
	16	Configuration and Monitoring Switch Node Software, Bay Networks, BCC Version 3.0, May 1997.	
	17	SK-NET Switch 6616 Ethernet/FDDI Switch Configuration Guide, SysKonnet Inc., March 1995, pp. 1-42.	
	18	Part 3: Media Access Control (MAC) Bridges, ANSI/IEEE Std 802.1D, 1998 Edition, pp. 58-109	
	19	Using Redundant Supervisor Engines, October 1999 <a href="http://www.cisco.com/univerd/cc/cat5000/rel_5_2/config/redund.htm">http://www.cisco.com/univerd/cc/cat5000/rel_5_2/config/redund.htm</a>	
	20	Draft Standard P802.1Q/D10, "IEEE Standards for Local and Metropolitan Area Networks: Virtual Bridged Local Area Networks," March 22, 1998	

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